

REQUEST FOR ADDITIONAL APPROPRIATION

Instructions: Complete all information in Parts A and B. Have Director/ Department Head sign and date on appropriate lines in Part C. Forward original to OPM Director, 10th Floor. OPM Director will forward to the Boards Submit to OPM by the 20th of the month in order to be included on the next Board of Finance and Representatives meeting agendas.

Part A - Account Information

		Grant Year	FY 24-25
Project #	<u>GF</u>	Dept. Name	<u>Emergency Communications 911</u>
Revenue #	<u>2800-3350-432102</u>	Account Title	<u>Federal Funds -DHS</u>
Expend. #	<u>2800-3350-560730</u>		<u>Stamford EMPG Pool</u>
	<u>\$24,173</u>	Account Title	<u>Non-Capital Equipment</u>

Source: 100% Grant Funded Federal (DHS)

	Request Amount	<u>\$24,173</u>
Previous Year's Expenditure		<u> </u>
Department Request		<u> </u>
Approved by Mayor		<u> </u>
Approved by Finance Board		<u> </u>
Approved by Board of Reps.		<u> </u>
Revised Budget		<u> </u>
Balance in Account		<u> </u>
Projected Exp. & Enc.		<u> </u>

Part B - Request Description and Supporting Calculations

Grant funds will be utilized to purchase equipment for the Emergency Operations Center (EOC). No City funds required.

TAX IMPACT 0.000 Mills

Part C - Approvals

<u>Anita Carpenter</u>	Jun 27, 2024	<u>Bill Maguire</u>	Jun 27, 2024
Anita Carpenter, Grants Officer		Bill Maguire (Jun 27, 2024 14:39 EDT)	
		Elda Sinani, Director OPM	
<u>Lou DeRubeis</u>	Jun 27, 2024	<u>Benjamin Barnes</u>	Jun 27, 2024
Lou DeRubeis, Director of Public Safety		Benjamin Barnes, Director of Administration	
		<u>Caroline Simmons</u>	Jun 27, 2024
		Caroline Simmons, Mayor	

**CONNECTICUT DEPARTMENT OF TRANSPORTATION
HIGHWAY SAFETY OFFICE**

2800 BERLIN TURNPIKE PO BOX 317546
NEWINGTON, CT 06131-7546



GRANT APPROVAL CONFIRMATION

DELIVERED BY EMAIL TO: Timothy Shaw

APPROVED PROJECT PERIOD:	July 08, 2024 - September 05, 2024
STATE PROJECT NUMBER:	0204-0706-AY
PROJECT NAME:	Stamford's FY 24 Speed and Aggressive Driving Enforcement Program
GOVERNMENTAL UNIT:	City of Stamford
APPLICANT:	Stamford Police Department
FEDERAL FUNDS:	\$48,696.00
MUNICIPAL/OFFICER/CONSTABLE FRINGE RATE OVERTIME %	1.45%
STATE POLICE/RESIDENT TROOPER FRINGE RATE OVERTIME %	0.00%
CFDA:	20.600

The Department of Transportation would like to notify you of the approval of the Stamford Police Department's Highway Safety project application entitled Stamford's FY 24 Speed and Aggressive Driving Enforcement Program effective July 08, 2024 - September 05, 2024.

Federal funds in the amount of \$48,696.00 are allocated to this project in accordance with the approved 2024 Fiscal Year Connecticut Highway Safety Plan. The total project cost may not exceed this amount for the approved project period.

All grants greater than or equal to \$30,000.00 are subject to FFATA (Federal Funding Accountability and Transparency Act) sub-award reporting requirements. For more information go to <https://www.fsrs.gov/#a-faqs>.

All costs incurred under this project must be in full compliance with both federal and State regulations, policies and procedures that govern the use of highway safety funds. Costs are subject to review by both Internal and External Auditors.

Please note that deviations from the specifics of the approved budget must be reviewed and approved by the Highway Safety Office prior to their implementation in order for related costs to be eligible for reimbursement.

The ENF-APP reimbursement package will be sent to your agency point of contact. All final claims against this project, together with all supporting financial documentation, must be submitted to the Highway Safety Office no later than thirty (30) days after the project period ending date.

If you have any additional questions or concerns regarding this program, please contact me at Joseph.Cristalli@ct.gov or 860-594-2412

cc'd by email to: sduckworth@stamfordct.gov
jhickey@stamfordct.gov

A handwritten signature in black ink that reads "Joseph T. Cristalli, Jr.".

Joseph T. Cristalli, Jr.
Transportation Assistant Planning Director

Application Signatures

Subgrantee Signatures

Authorizing Official

* **Name:** *Timothy Shaw*
* **Title:** *Chief of Police*

* **Signature:** *Timothy Shaw*
* **Signature Date:** *06/24/2024*

Financial Officer

* **Name:** *Scott Duckworth*
* **Title:** *Captain*

* **Signature:** *Scott Duckworth*
* **Signature Date:** *06/24/2024*

Project Director

* **Name:** *Joseph Hickey*
* **Title:** *Grants Coordinator*

* **Signature:** *Joseph Hickey*
* **Signature Date:** *06/24/2024*

CTHSO Signatures

Program Manager

* **Name:** *Briany Bridges-Hightower*
* **Title:** *CCT*

* **Signature:** *Briany Bridges-Hightower*
* **Signature Date:** *06/24/2024*

Supervisor

* **Name:** *Flavia Pereira*
* **Title:** *Transportation Supervising Planner*

* **Signature:** *Flavia Pereira*
* **Signature Date:** *06/25/2024*

Fiscal

* **Name:** *Anila Hafeez*
* **Title:** *Associate Accountant*

* **Signature:** *Anila Hafeez*
* **Signature Date:** *06/25/2024*

Program Coordinator

* **Name:** *Joseph Cristalli*
* **Title:** *Transportation Assistant Planning Director*

* **Signature:** *Joseph Cristalli*
* **Signature Date:** *06/26/2024*

Governor's Representative

* **Name:** *Garrett Eucalitto*
* **Title:** *HSO Governor's Representative*

* **Signature:** *Garrett Eucalitto*
* **Signature Date:** *06/26/2024*

Application General Information Form

ACCEPTANCE -- IT IS UNDERSTOOD AND AGREED BY THE UNDERSIGNED THAT FUNDS RECEIVED AS A RESULT OF THIS APPLICATION IS SUBJECT TO THE REGULATIONS GOVERNING HIGHWAY SAFETY PROJECTS. THIS AGREEMENT MAY BE TERMINATED BY EITHER PARTY IN ACCORDANCE WITH TRANSPORTATION SAFETY SECTION POLICY. COPY OF POLICY OBTAINED UPON REQUEST.

Applicable Organizations: * Option 1
Option 2
Project Title: * *Stamford's FY 24 Speed and Aggressive Driving Enforcement Program*
Governmental Unit/Agency: * *City of Stamford*

[X] Check here if the remittance address is different than the Applicant/Organization address.

Governmental Unit/Agency Information

Governmental Unit/Agency: *City of Stamford*

Address *
888 Washington Boulevard

City *
Stamford

State *
Connecticut

Zip *
06901

FEIN

UEI Number

Applicant Information

Applicant Name: *Stamford Police Department*

Address *
725 Bedford Street

City *
Stamford

State *
Connecticut

Zip *
06901

E-mail *
jbooth@stamfordct.gov

Phone *
(203) 977-4712

Fax
(203) 977-5583

FEIN *
06-6001897

UEI Number *
M6TJF6HZ7M64

Project Director Information

Designated Project Director for this grant: *Joseph Hickey **

First Name *

Joseph

Last Name *

Hickey

Title *

Grants Coordinator

Email *

jhickey@stamfordct.gov

Phone *

(203) 977-4156

Financial Officer Information

Designated Financial Officer for this grant: *Scott Duckworth **

First Name *

Scott

Last Name *

Duckworth

Title *

Captain

Email *

sduckworth@stamfordct.gov

Phone *

(203) 977-4725

Authorizing Official Information

Designated Authorizing Official for this grant: *Timothy Shaw **

First Name *

Timothy

Last Name *

Shaw

Title *

Chief of Police

Email *

tshaw@stamfordct.gov

Phone *

(203) 977-4681

Problem ID

Applicant: *Stamford Police Department*
Project Title: *Stamford's FY 24 Speed and Aggressive Driving Enforcement Program*

2023 Statewide Statistics*:

- 50.7% of all **speed** crashes occurred during the weekend days of Friday through Sunday.
- 55.6% of fatal and serious injury **speed** crashes. occurred during the weekend days of Friday through Sunday.
- 43.5% of fatal crashes related to **speeding** occurred between the hours of 6PM to midnight.
- 27.1% of all fatalities were **speeding** related **Aggressive Driving**.
- 40.5% of all **aggressive driving** crashes occurred during the weekend days of Friday through Sunday.
- 49.4% of fatal and serious injury crashes related to **aggressive driving** occurred during the weekend days of Friday through Sunday.
- 41.7% of fatal crashes related to **aggressive driving** occurred between the hours of 4PM and 10PM.
- 22.0% of fatal crashes involved **aggressive driving**.

*figures are preliminary and subject to change

The applicant was selected by the HSO to conduct High Visibility Enforcement (HVE) based on combination of the following town level crash data (excluding interstates):

- Total number of fatal and injury crashes 2019-2023
- Past High Visibility Enforcement grant performance

List proposed enforcement locations and provide a justification for each location. Include local problem identification and data.

STATEMENT OF THE PROBLEM AND BACKGROUND INFORMATION

The problem is drivers driving very aggressively and speeding which makes or a potentially dangerous recipe.

Additional Documentation

			Target Goals *
			Project Period
Speed Crashes			Speed Crashes
2020	2021	2022	2024
306	1,022	1,062	800
Speed Fatalities			Speed Fatalities
2020	2021	2022	2024
3	1	5	2
Speed Injuries			Speed Injuries
2020	2021	2022	2024
197	388	378	325
Speed Citations Issued			Speed Citations Issued
2020	2021	2022	2024
869	847	825	900

Problem Identification

List locations with the highest problems

<i>High Ridge Road</i>	*
<i>Long Ridge Road</i>	*
<i>Washington Boulevard</i>	*
<i>Bedford Street</i>	
<i>Summer Street</i>	
<i>Myrtle Avenue</i>	
<i>East Main Street</i>	

List day(s) of the week where data shows increased problems:

<i>Monday</i>	*
<i>Tuesday</i>	*
<i>Wednesday</i>	*
<i>Thursday</i>	
<i>Friday</i>	

List time(s) of the day where data shows increased problems:

<i>08:00-</i>	*
<i>12:00</i>	*
<i>15:00</i>	*

Objectives

Applicant: *Stamford Police Department*
Project Title: *Stamford's FY 24 Speed and Aggressive Driving Enforcement Program*

Objective is to decrease fatalities and injuries as a result of motor vehicle crashes caused by Speeding and Aggressive Driving.

- To Increase High Visibility Speed and Aggressive Driving Enforcement, especially in areas with high population, high traffic volumes and roadways with low posted speed limits. These areas have been identified through state level problem identification as the most likely to effect the greatest number of speed related crashes through HVE speed enforcement.
- To reduce or maintain speed related crashes during the grant period below the 3 year average (2019 - 2021).

Describe the objectives to be accomplished during this project.

The objectives should be specific, clearly written, measurable, and time-framed.

The objective of our project is to reduce the number of speed-related crashes and injuries in Stamford. Our strategy is to utilize grant funds to perform targeted patrols and issue citations to those driving aggressively and/or speeding. We hope to change the behavior of drivers so that they consciously drive less aggressive resulting in safer streets for motorist and pedestrians in our city.

Activities

Applicant: *Stamford Police Department*

Objective is to decrease fatalities and injuries as a result of motor vehicle crashes caused by Speeding and Aggressive Driving.

- To Increase High Visibility Speed and Aggressive Driving Enforcement, especially in areas with high population, high traffic volumes and roadways with low posted speed limits. These areas have been identified through state level problem identification as the most likely to effect the greatest number of speed related crashes through HVE speed enforcement.
- To reduce or maintain speed related crashes during the grant period below the 3 year average (2019 - 2021).

Describe activities and procedures you will undertake to achieve each objective. *

Identify project personnel and responsibilities. Include activity timelines.

Stamford Police Officers will work "self-initiated" enforcement. We will schedule 2 officers to work 8 hour shifts on this detail.

Enforcement

Applicant: *Stamford Police Department*
Project Title: *Stamford's FY 24 Speed and Aggressive Driving Enforcement Program*

Enforcement Activity

Use an average rate for the overtime hourly rate. Hours per shift must be between 4 hours and 8 hours per day. Shift hours can't exceed 8 hours per officer. There are 67 patrol days available.

Enforcement Period: **Start** **End**
 7/1/2024 9/5/2024

Subgrantee Type	Enforcement Type	Number of Hours	Hourly Rate	Total
<i>Municipal PD</i>	<i>Focus Patrol</i>	16	\$75.00	\$1,200.00
Number of Planned Focus Patrol Days:				40
Number of Planned Checkpoint Days:				0
Focus Patrol Grand Total:				\$48,000.00
Checkpoint Grand Total:				\$0.00
Enforcement Total:				\$48,000.00

Planned Locations and Enforcement Type

Date Location and Manpower Changes:

Spotter/Non-Spotter enforcement can be done in teams or individually. If working in teams, then use a spotter and other officers working the shift (non-spotter). If working alone, spotter/self-initiated is the correct category. Please note – spotter/self-initiated is not roving. Rather, this category involves an officer choosing a strategic, covert location advantageous to the observation of speeding. When this behavior is observed, the officer then “self-initiates the stop. List locations and then choose Spotter/non-spotter and/or spotter/self-initiated enforcement types at the planned locations.

Location	Type
<i>High Ridge Road @ Loveland Road</i>	<i>Spotter/Self-Initiated</i>
<i>High Ridge Road @ Briar Brae Road</i>	<i>Spotter/Self-Initiated</i>
<i>Long Ridge Road @ Three Lakes Drive</i>	<i>Spotter/Self-Initiated</i>
<i>684 Long Ridge Road</i>	<i>Spotter/Self-Initiated</i>
<i>Bedford Street @ Locust Lane</i>	<i>Spotter/Self-Initiated</i>
<i>Summer Street @Seventh Street</i>	<i>Spotter/Self-Initiated</i>
<i>1620 Washington Boulevard</i>	<i>Spotter/Self-Initiated</i>
<i>East Main Street @ Lockwood Avenue</i>	<i>Spotter/Self-Initiated</i>
<i>East Main Street @ Lockwood Avenue</i>	<i>Spotter/Self-Initiated</i>
<i>Myrtle Avenue @ George Street</i>	<i>Spotter/Self-Initiated</i>
<i>91 Strawberry Hill Avenue</i>	<i>Spotter/Self-Initiated</i>
<i>Newfield Avenue @ Denicola Place</i>	<i>Spotter/Self-Initiated</i>
<i>Webbs Hill Road @ Pheasant Lane</i>	<i>Spotter/Self-Initiated</i>

<i>Interlaken Road</i>	<i>Spotter/Self-Initiated</i>
<i>Hubbard Avenue @ Pellom Place</i>	<i>Spotter/Self-Initiated</i>

Fringe

Applicant: *Stamford Police Department*

Project Title: *Stamford's FY 24 Speed and Aggressive Driving Enforcement Program*

Do you plan on submitting a Fringe Rate? * Yes No

Fringe Benefits

NOTES:

- **If fringe rate is being charged/reimbursed, this page should be completed and signed.**
- **Fringe benefit charges should NOT be included in the hourly rate.**
- **No hourly rate should be inflated—all hourly rates should be reported as actuals.**

I hereby certify that the information below is the true and accurate and authorized by "*Stamford Police Department*" for hours worked by personnel for the following time period:

FROM: *7/1/2024 **

TO: *9/30/2024 **

Actual Cost Category	Officer/Constable Fringe Rate OVT %	Trooper Fringe Rate OVT %
<i>FICA-Medicare</i>	<i>1.45000</i>	
Other Cost Category		
Total Fringe Rate	<i>1.45000%</i>	<i>0.00000%</i>

City/Town/Agency's Chief Financial Officer or Authorizing Official

I further certify that this statement is correct in all respects and that the fringe benefit rate(s) identified above accurately represents the fringe benefit costs to the city/town/agency for the individuals employed under or working on this project.

* **Name:** *Scott Duckworth*

* **Title:** *Captain*

* **Signature:** *Scott Duckworth*

Signature Date: *06/24/2024*

Budget

Applicant: *Stamford Police Department*

Project Title: *Stamford's FY 24 Speed and Aggressive Driving Enforcement Program*

Salaries

Category	Focus Patrol	Checkpoint	Estimated Wages Subtotal
Municipal PD	\$48,000.00	\$0.00	\$48,000.00
Resident Trooper	\$0.00	\$0.00	\$0.00
Officer/Constable	\$0.00	\$0.00	\$0.00
State Police	\$0.00	\$0.00	\$0.00
Total Estimated Wages:			\$48,000.00

Fringe Benefits

Category	Fringe Benefit Rate	Fringe Benefits Cost Subtotal
Municipal PD	1.45000%	\$696.00
Resident Trooper	0.00000%	\$0.00
Officer/Constable	1.45000%	\$0.00
State Police	0.00000%	\$0.00
Total Estimated Fringe Benefits:		\$696.00

Indirect Costs

Approved Indirect Cost Rate (if applicable): * 0.00%

Total Indirect Costs: \$0.00

City/Town/Agency's Chief Financial Officer or Authorizing Official

I further certify that this statement is correct in all respects and that the Indirect Costs Rate identified above accurately identifies what is allowable.

Click **Save** to populate the Signature Date and Title of the selected Authorizing Official.

Name: *Scott Duckworth**

Signature: *Scott Duckworth**

Title: *Captain**

Signature Date: *06/24/2024**

Equipment

List Each Item.

Any items valued equal to or greater than \$5,000 require NHTSA Pre-Approval.

Click the **+** icon to **add** a new row

Click the **-** icon to **delete** an existing row

Item	Quantity	Cost Per Item	Total Cost	Buy America Supporting Documentation (If any item's Cost Per Item >= \$5,000, Buy America Documentation is Required)
		\$	\$0.00	

Total Equipment Costs:

\$0.00

Copy of Equipment Quote

Supported file types: .doc, .docx, and .pdf

Other Supporting Documentation

Supported file types: .doc, .docx, and .pdf

Grand Total

Grand Total Amount: \$48,696.00

Budget Notes:

Budget Summary

Total Federal Budget \$48,696.00
Total Match Budget \$0.00

Relevant FAIN numbers will initially be unavailable during the grant submission process.

FAIN Numbers will be automatically assigned here once the grant has been submitted, reviewed and processed by CT HSO.

Federal Award Identifier Number (FAIN):

69A37520300004020CT0
69A37521300004020CT0
69A37522300004020CT0
69A3752230SUP4020CT0
69A37523300004020CT0
69A3752330SUP4020CT0
69A37524300004020CT0
69A3752430SUP4020CT0

Cost Category	Amount	Budget Summary Approval (For HSO Staff Only)		
		Approval Status	Conditions	Notes
Officers-Salaries	\$48,000.00	[X]Approved	Conditions Apply *	N/A
Officers-Fringe Benefits @ 1.45%	\$696.00	[X]Approved	Conditions Apply *	N/A
Trooper-Salaries	\$0.00	Approved	Conditions Apply *	[X]N/A
Trooper-Fringe Benefits @ 0.00%	\$0.00	Approved	Conditions Apply *	[X]N/A
State Police-Salaries	\$0.00	Approved	Conditions Apply *	[X]N/A
State Police-Fringe Benefits @ 0.00%	\$0.00	Approved	Conditions Apply *	[X]N/A
Indirect Cost	\$0.00	Approved	Conditions Apply *	[X]N/A
Equipment	\$0.00	Approved	Conditions Apply *	[X]N/A
Total Federal Budget	\$48,696.00	* Conditionally Approved amounts will only be reimbursed upon satisfying the condition mentioned below.		
Total Grant Amount	\$48,696.00			

Notes

Reimbursement Requirements

Requested Project Start Date *		Approved Project Start Date
7/8/2024	7/8/2024	The HSO-Approved Project Start Date will populate here once the submitted grant has been reviewed by HSO staff.
Billing Period Start Date	Billing Period Ending Date	Reimbursement Deadline
7/1/2024	9/5/2024	10/5/2024

- All reimbursements must be signed and dated by the sub-grantee's authorizing official.
- Reimbursements should be submitted on a quarterly/monthly basis, per program, per program manager, during the term of the approved grant.
- Under the terms and conditions of this project application, ALL SUPPORTING DOCUMENTATION must be submitted to the Highway Safety Office no later than thirty (30) days after the project's ending date. Please verify the project start date, project ending date, and reimbursement deadline prior to any project activity.
- All reimbursements must include the invoice as well as proof of payment (examples: for airfare or hotel; a billing statement showing a zero balance, a screen shot from system showing vendor and payment amount with voucher number, copy of front and back of canceled check, or notarized letter which includes check number and date when expenditure was paid).
- Deadline for all federal reimbursements for salary positions must be invoiced to the CT Highway Safety Office (HSO) no later than October 30th. Failure to do so may jeopardize your reimbursement.
- All salary reimbursements must be accompanied by signed timesheets and/or reports. Signature of both the employee and authorizing Supervisor is required.
- Deadline for all federal reimbursements for commodity purchases and other pre-approved grant items should be submitted to the HSO no later than October 30th.
- Photocopies of any media coverage (if applicable) or supportive documentation can be included. Grant category budgets should be adhere to, funding is not fluid between budget categories. Only expenses contained in the approved Highway Safety Project application may be claimed for reimbursement.

FAILURE TO MEET THE REIMBURSEMENT REQUIREMENTS SET FORTH MAY RESULT IN YOUR CLAIM BEING DENIED.

Certifications & Assurances

NONDISCRIMINATION

(applies to subrecipients as well as States)

The State highway safety agency will comply with all Federal statutes and implementing regulations relating to nondiscrimination ("Federal Nondiscrimination Authorities). These include but are not limited to:

- **Title VI of the Civil Rights Act of 1964** (42 U.S.C. 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin) and 49 CFR part 21;
- **The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970**, (42 U.S.C. 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- **Federal-Aid Highway Act of 1973**, (23 U.S.C. 324 et seq.), and **Title IX of the Education Amendments of 1972**, as amended (20 U.S.C. 1681-1683 and 1685-1686) (prohibit discrimination on the basis of sex);
- **Section 504 of the Rehabilitation Act of 1973**, (29 U.S.C. 794 et seq.), as amended, (prohibits discrimination on the basis of disability) and 49 CFR part 27;
- **The Age Discrimination Act of 1975**, as amended, (42 U.S.C. 6101 et seq.), (prohibits discrimination on the basis of age);
- **The Civil Rights Restoration Act of 1987**, (Pub. L. 100-209), (broadens scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal aid recipients, subrecipients and contractors, whether such programs or activities are Federally-funded or not);
- **Titles II and III of the Americans with Disabilities Act** (42 U.S.C. 12131-12189) (prohibits discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing) and 49 CFR parts 37 and 38;
- **Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations** (prevents discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations); and
- **Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency** (guards against Title VI national origin discrimination/discrimination because of limited English proficiency (LEP) by ensuring that funding recipients take reasonable steps to ensure that LEP persons have meaningful access to programs (70 FR 74087-74100).

The State highway safety agency—

- Will take all measures necessary to ensure that no person in the United States shall, on the grounds of race, color, national origin, disability, sex, age, limited English proficiency, or membership in any other class protected by Federal Nondiscrimination Authorities, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any of its programs or activities, so long as any portion of the program is Federally-assisted;
- Will administer the program in a manner that reasonably ensures that any of its subrecipients, contractors, subcontractors, and consultants receiving Federal financial assistance under this program will comply with all requirements of the NonDiscrimination Authorities identified in this Assurance;
- Agrees to comply (and require its subrecipients, contractors, subcontractors, and consultants to comply) with all applicable provisions of law or regulation governing US DOT's or NHTSA's access to records, accounts, documents, information, facilities, and staff, and to cooperate and comply with any program or compliance reviews, and/or complaint investigations conducted by US DOT or NHTSA under any Federal Nondiscrimination Authority;
- Acknowledges that the United States has a right to seek judicial enforcement with regard to any matter arising under these Non-Discrimination Authorities and this Assurance;
- Agrees to insert in all contracts and funding agreements with other State or private entities the following clause:

“During the performance of this contract/funding agreement, the contractor/funding recipient agrees—

- a. To comply with all Federal nondiscrimination laws and regulations, as may be amended from time to time;
- b. Not to participate directly or indirectly in the discrimination prohibited by any Federal non-discrimination law or regulation, as set forth in appendix B of 49 CFR part 21 and herein;
- c. To permit access to its books, records, accounts, other sources of information, and its facilities as required by the State highway safety office, US DOT or NHTSA;
- d. That, in event a contractor/funding recipient fails to comply with any nondiscrimination provisions in this contract/funding agreement, the State highway safety agency will have the right to impose such contract/agreement sanctions as it or NHTSA determine are appropriate, including but not limited to withholding payments to the contractor/funding recipient under the contract/agreement until the contractor/funding recipient complies; and/or cancelling, terminating, or suspending a contract or funding agreement, in whole or in part; and
- e. To insert this clause, including paragraphs (a) through (e), in every subcontract and subagreement and in every solicitation for a subcontract or sub-agreement, that receives Federal funds under this program.

POLITICAL ACTIVITY (HATCH ACT)

(applies to subrecipients as well as States)

The State will comply with provisions of the Hatch Act (5 U.S.C. 1501-1508), which limits the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

CERTIFICATION REGARDING FEDERAL LOBBYING

(applies to subrecipients as well as States)

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;
3. The undersigned shall require that the language of this certification be included in the award documents for all sub-award at all tiers (including subcontracts, subgrants, and contracts under grant, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure

RESTRICTION ON STATE LOBBYING

(applies to subrecipients as well as States)

None of the funds under this program will be used for any activity specifically designed to urge or influence a State or local legislator to favor or oppose the adoption of any specific legislative proposal pending before any State or local legislative body. Such activities include both direct and indirect (e.g., "grassroots") lobbying activities, with one exception. This does not preclude a State official whose salary is supported with NHTSA funds from engaging in direct communications with State or local legislative officials, in accordance with customary State practice, even if such communications urge legislative officials to favor or oppose the adoption of a specific pending legislative proposal.

CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

(applies to subrecipients as well as States)

Instructions for Primary Tier Participant Certification (States)

1. By signing and submitting this proposal, the prospective primary tier participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR parts 180 and 1200.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective primary tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary tier participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default or may pursue suspension or debarment.
4. The prospective primary tier participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary tier participant learns its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. *The terms covered transaction, civil judgment, debarment, suspension, ineligible, participant, person, principal, and voluntarily excluded*, as used in this clause, are defined in 2 CFR parts 180 and 1200. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary tier participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Participant Certification including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion—Lower Tier Covered Transaction, provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR parts 180 and 1200.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any prospective lower tier participants, each participant may, but is not required to, check the System for Award Management Exclusions website (<https://www.sam.gov>).
9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency may terminate the transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary Tier Covered Transactions

- (1) The prospective primary tier participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

- (2) Where the prospective primary tier participant is unable to certify to any of the Statements in this certification, such prospective participant shall attach an explanation to this proposal.

Instructions for Lower Tier Participant Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR parts 180 and 1200.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant

knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances

4. The terms covered transaction, civil judgment, debarment, suspension, ineligible, participant, person, principal, and voluntarily excluded, as used in this clause, are defined in 2 CFR parts 180 and 1200. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Participant Certification including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR parts 180 and 1200.

7. The participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any prospective lower tier participants, each participant may, but is not required to, check the System for Award Management Exclusions website (<https://www.sam.gov>).

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction

knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, department the or agency with which this transaction originated may pursue available remedies, including suspension or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transactions:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

BUY AMERICA ACT

(applies to subrecipients as well as States)

The State and each subrecipient will comply with the Buy America requirement (23 U.S.C. 313) when purchasing items using Federal funds. Buy America requires a State, or subrecipient, to purchase with Federal funds only steel, iron and manufactured products produced in the United States, unless the Secretary of Transportation determines that such domestically produced items would be inconsistent with the public interest, that such materials are not reasonably available and of a satisfactory quality, or that inclusion of domestic materials will increase the cost of the overall project contract by more than 25 percent. In order to use Federal funds to purchase foreign produced items, the State must submit a waiver request that provides an adequate basis and justification for approval by the Secretary of Transportation.

PROHIBITION ON USING GRANT FUNDS TO CHECK FOR HELMET USAGE

(applies to subrecipients as well as States)

The State and each subrecipient will not use 23 U.S.C. Chapter 4 grant funds for programs to check helmet usage or to create checkpoints that specifically target motorcyclists.

Signature

This section must be completed by an Authorizing Official or Financial Officer belonging to the applicant's organization.

I understand that my statements in support of the State's application for Federal grant funds are statements upon which the Federal Government will rely in determining qualification for grant funds, and that knowing misstatements may be subject to civil or criminal penalties under 18 U.S.C. 1001. I sign these Certifications and Assurances based on personal knowledge, and after appropriate inquiry.

Name: *Scott Duckworth **
Title: *Captain **

Signature: *Scott Duckworth **
Signature Date: *06/24/2024*

Audit Requirements

This page must be completed by an Authorizing Official or Financial Officer belonging to the applicant's organization.

NOTE: PLEASE DO NOT SIGN FOR BOTH STATEMENTS

Attachment A

SINGLE AGENCY AUDITING REQUIREMENTS AND PROCEDURES EFFECTIVE OCTOBER 1, 2023

AUDIT REQUIREMENT STATEMENT:

The town/city/agency of *Stamford Police Department* declares that for the fiscal year ending September 30, 2024, the sum total of Federal funds awarded to local government agencies from all sources **DOES exceed \$750,000** and that it will conduct an audit report as required under 2 CFR part 200 subpart F (REVISED) AUDITS OF STATES, LOCAL GOVERNMENTS, AND NON-PROFIT ORGANIZATIONS AND 49 CFR UNIFORM ADMINISTRATIVE REQUIREMENTS FOR GRANTS AND COOPERATIVE AGREEMENTS TO STATE AND LOCAL GOVERNMENTS PART 18.26.

Name: *Scott Duckworth*

Signature: *Scott Duckworth*

Title: *Captain*

Date: *06/24/2024*

(NOTE: PLEASE DO NOT SIGN FOR BOTH STATEMENTS)

AUDIT EXEMPTION STATEMENT:

The town/city/agency of *Stamford Police Department* declares that for the fiscal year ending September 30, 2024, the sum total of Federal funds awarded to local government agencies from all sources **DOES NOT exceed \$750,000** and that an independent audit is not required under 2 CFR part 200 subpart F (REVISED) AUDITS OF STATES, LOCAL GOVERNMENTS, AND NON-PROFIT ORGANIZATIONS AND 49 CFR UNIFORM ADMINISTRATIVE REQUIREMENTS FOR GRANTS AND COOPERATIVE AGREEMENTS TO STATE AND LOCAL GOVERNMENTS PART 18.26.

Name:

Signature:

Title:

Date:

The following sanctions policy will be in effect for sub grantees who do not submit Audit Reports or who do not correct findings in those reports:

- a. Any sub grantee receiving \$750,000 or more in Federal funds from all sources who fails to submit an audit report two or more sequential fiscal years will not be eligible for highway safety grants until all audit reporting requirements are met.
- b. Any sub grantee who has not taken corrective action on an audit finding within six months of the submission of an audit report will have funds automatically deducted from any pending claims. If there are no pending claims with the HSO, the sub grantee will not be eligible for highway safety grants until a corrective action has been negotiated with the HSO.